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Title: Operation Directions of Subsidy Provision for General Agricultural Science and Technology Programs of Council of Agriculture, Executive Yuan Ch

Date: 2015.12.09

Legislative: 1. Promulgated on September 3, 2013.

2. Amended on December 9, 2015.

- Content: I. These operation directions are formulated by the Council of Agriculture, Executive Yuan (hereinafter referred to as the "COA") or its subordinate authorities to standardize the subsidy provision to the public and private universities and colleges, research organs (institutions), groups and legal persons executing the programs of agricultural science and technology research and development, policy research and science and technology exchanges, accelerate the development and application of agricultural science and technology, address the industry needs and enhance the industry competitiveness.
 - II. The general agricultural science and technology subsidy programs specified in These operation directions (hereinafter referred to as the "subsidy programs") refer to the general research programs subsidized by the COA or its subordinate authorities, which are related to their business and other than those industry-academy cooperation programs, science and technology projects and other programs carried out in accordance with the relevant laws and regulations. The funds for the subsidy programs referred in the preceding paragraph are covered by the relevant funds and budgets for agricultural science and technology research and development of the COA or its subordinate authorities.
 - III. Except for those whose subsidy objects and purposes are clearly listed in the legal budgets for the COA or its subordinate authorities and have been deliberated and passed by the Legislative Yuan or approved as projects, other subsidy programs shall be handled by way of public soliciting. The relevant information shall be publicized on the website of the COA or its subordinate authorities; and the selection process shall comply with the principles of equity, fairness and openness.

IV. Subsidy objects:

- 1. Public and private universities and colleges approved to be established by the Ministry of Education.
- 2. Public research organs (institutions).

- 3.Legal persons registered and established in accordance with Taiwanese law to be engaged in the research and development of science and technology.
- 4. Farmer groups and non-profit corporations established in accordance with law to be engaged in businesses related to agriculture.
- 5. International organizations as well as research or training institutions related to agriculture.
- V. Subsidy item or scope: according to the key administration or research points publicized at the time of program soliciting by the COA or its subordinate authorities.

VI. Subsidy principles:

- 1. Those conforming to the subsidy items or scope and having passed the review and approved with the program statement being approved as well are entitled to full subsidies.
- 2. No subsidies would be provided in one of the following cases:
- (1) The subsidy from the COA or its subordinate authorities for the same reason, activity or program has been applied and received already.
- (2)Other cases not entitled to subsidies as publicized.
- 3. In the case of non-subsidizing as specified in the preceding paragraph, the COA or its subordinate authorities shall withdraw the subsidy and retrieve the subsidy payment already made.

VII.Application operation:

- 1. The application for the program publically solicited on the website of the COA or its subordinate authorities shall be handled according to the contents of the program solicited, operation procedure (including the scoring and ranking method) and application documents (including the purpose, content, expected benefits, budget estimate sheet for funding, etc.). The application documents shall be sent or mailed to the designated location within the term of public soliciting. It will not be accepted if the documents are not complete or do not conform to the regulations. In the case of delivery by post, the postmark would serve as the proof of delivery and the overdue will not be accepted.
- 2. The subsidy program's fund budget preparation shall be conducted in accordance with the fund application scope and budget preparation benchmark of the COA's governing program fund handling manual, which can be downloaded from the program development area on the COA's website.
- 3. The subsidy program application documents will not be returned after reviewed by the COA or its subordinate authorities.

VIII.Program review:

- 1. Review method and procedure:
- (1)The manager of the subsidy program applied for shall prepare the subsidy program application documents, which shall be handled by the unit organizing the program soliciting. During the review, the experts and scholars in the relevant fields and the representatives of the COA or its subordinate authorities shall be invited to review the documents in writing or by way of meeting. The applying unit may be invited to make presentation if necessary.
- (2)There shall be at least three review committee members to review the subsidy program application documents; the number of external experts shall be not less than one thirds of the total; and the principle of benefit avoidance shall be abided by; and the relevant operation shall be handled in reference to the organization guidelines and review rules of the procurement evaluation committee.
- (3)The review results shall be ranked according to their score or sequence; and the COA or its subordinate authorities would the recommend the subsidy programs according to the ranking order, file for approval by the leadership or consent by the authorized personnel and notify the applying units.
- (4) The recommended subsidy unit can only receive the subsidy after revising the program's contents based on the first and second review results specified in this article, submitting a formal program statement and getting the approval from the COA or its subordinate authorities.
- 2. Key points of review:
- (1)Compliance of the program's overall planning contents with the COA's key administration or research points
- (2) Reviewing the appropriateness of program objectives, feasibility of important work items and implementation methods, program manager's research performance and program execution ability, rationality of program fund and manpower and practicability of expected results according to the review items stipulated by the COA or its subordinate authorities.
- (3) In addition to examining the program manager's research managing ability in selecting the subsidy object, those receiving more than two programs of the COA or its subordinate authorities at the same period (referring to the research periods of subsidy programs overlapping for more than 4 months) shall be carefully evaluated and considered especially.
- (4) The review table shall include the review items of the second review and properly allocated scores for them, review comments and scoring results, etc. The full score

is 100 and only those having an average score over 70 can be recommend for subsidies.

IX.Contract signing:

- 1. The recommended subsidy unit can only proceed to the contract signing for operation after correcting the program content in accordance with the review results, submitting a formal program statement and getting the approval from the COA or its subordinate authorities.
- 2. The relevant contract shall be signed by using the model contract for the COA's subsidized science and technology programs in principle; and the contract provisions may be modified through consultation by the two parties should it be needed actually.

X.Program control and effectiveness evaluation:

- 1. The alteration, supervision, evaluation and others of subsidy program shall be conducted in accordance with the development, evaluation and supervision operation instructions and regulations of the COA's agricultural science and technology program.
- 2. The program effectiveness evaluation results are listed as the reference for determining whether to continue the subsidy provision or the subsidy limit increase or decrease in the future.

XI.Fund management:

- 1. After the research fund is approved, the fund appropriation shall be made in installments in principle and the fund appropriation method shall be as agreed under the contract.
- 2. The fund expenditure, verification and settlement, random inspection and property management shall be conducted according to the COA's governing program fund handling manual.
- 3. The subsidized unit shall inspect the proof and make the appropriation in accordance with the provisions within the time limit notified by the COA or its subordinate authorities; submit the accounting report within the time limit; and make proof inspection, verification and settlement according to the provisions.
- XII.Research and development results and intellectual property rights: these shall be handled according to the COA's science and technology research and development result attribution and application measures.

XIII.Other matters needing attention:

1. In the case of subsidy program involved in experiments related to genetic recombination, the approval documents of biological experiment safety committee shall be

- attached; in the case of involvement in gene transfer field experiments, the approval documents of governing authority shall be attached; in the case of involvement in animal experiments, the approval documents of experimental animal care and use committee or group shall be attached; in the case of involvement in the infectious biological material experiments above Level 2 stipulated by the Ministry of Health and Welfare, the approval documents of relevant units shall be attached; and in the case of involvement in the experiments using the endemic biological species in Taiwan as the test materials, the approval by governing authority is required and the relevant proof documents obtained legally shall be provided. In the event that the approval documents cannot be submitted by the time of application, the application proof documents shall be submitted prior to the submission of the formal program statement and the contract can only be signed after the approval documents are obtained.
- 2. After the subsidy program is approved, in the case that the program manager needs to implement the program in a new working organ (institution) due to his/her service organ (institution) change, the original working organ (institution) shall provide a copy of the new work organ (institution) engagement letter and a consent letter to the COA or its subordinate authorities; after the consent is obtained, the COA or its subordinate authorities would terminate the contractual relationship with the original working organ (institution) and sign a new contract with the new working organ (institution) and then the subsidy program can be transferred to be implemented continuously by the new working organ (institution). In the case that the instrument equipment purchased during the program manager working in the original working organ (institution) in implementing the subsidy program needs to be transferred to the new working organ (institution) used to implement the subsidy program of the COA or its subordinate authorities continuously, it shall be handled in the same way.
- 3. In the event that the program manager fails to submit the closing report as required, the COA or its subordinate authorities would not approve any subsidies to the program within 1 to 5 years.
- 4. The subsidized unit shall comply with the regulations of the Science and Technology Research and Development Procurement Supervision and Administration Measures while conducting procurement.
- 5. In the case of the subsidy object being an agriculture related international organization not established in Taiwan, its application operation, program review, contract signing, project control, effectiveness

- evaluation and fund management shall be handled according to the stipulations under the contract or memorandum signed with the COA or its subordinate authorities.
- 6. The matters not covered in these operation directions should be executed in accordance with the subsidy program contract of the COA or its subordinate authorities and other relevant regulations.

Data Source: MONISTRY OF AGRICULTURE Laws and Regulations Retrieving System